## (Translation)

## Announcement of the Graduate School, Chiang Mai University No. 15/2565 Subject: Criteria and Operating Procedure for Thesis Publication

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According to the Regulation of Chiang Mai University on Graduate Education 2559 B.E., clause no. 29.6: a thesis work must be published or at least accepted to publish in a journal, media, printed matter, registered a petty patent or patent, or other ways of publication that are accepted in the field of study as specified by the graduate school, on each level and/or type of study, along with the agreement of the Academic Council Board meeting no. 4/2565 on 20<sup>th</sup> April 2022 and the Graduate School Academic Administrative Committee (GSAAC), meeting no. 5/2565 on 8<sup>th</sup> April 2022, the Criteria and Operating Procedure for Thesis Publication are issued as follows.

- 1. Announcement of Graduate School, Chiang Mai University, Subject: Criteria and Operating Procedure for Thesis Publication No. 37/2559 issued on 9<sup>th</sup> November 2016, No. 45/2560 (No.2) issued on 10<sup>th</sup> November 2017, and No. 63/2561 (No.3) issued on 24<sup>th</sup> October 2018 are cancelled.
- 2. This announcement is valid for the students who request for the graduation on the announced date.
  - 3. Criteria for thesis publication
- 3.1. Graduate Diploma or High Graduate Diploma: Criteria for thesis publication is as specified in each program.

## 3.2. Master's degree

Criteria for thesis publication	Type 1	Type 2	Type 3
Publications	1	1	
The thesis or parts of the thesis have been published or			
at least accepted to be published in international journals			
or national journals in TCI Tier 1			
or national journals which are qualified and accepted in			
the field of study or related fields. The journals must			
regularly and continuously publish for at least 3 years.			
The quality of publications must be evaluated by at least			
3 peer reviewers from various outside university. The			
journal can be published in both print and electronic,			
which have an exact schedule.			
or published as a full paper in the proceeding of			
international conference, which was accepted in the field			
of study.			

Criteria for thesis publication	Type 1	Type 2	Type 3
National conference	1		
Note: Award from national contest of National Research			
Council of Thailand (NRCT) or equivalent award can be			
used instead of the presentation in national conference			
after the acceptance from the Graduate School Academic			
Administrative Committee (GSAAC).			
Independent study			1
Independent study or parts of the independent study			
must be published in the academic publications, which			
are accepted by the program and the Graduate School			
Academic Administrative Committee (GSAAC).			
Patent/Innovation, which can be used instead of 1			
publication			
Patent: Request number + Readiness level			
(TRL/PRL/SRL 4 and above)			
Innovation: Readiness level (TRL/PRL/SRL 5 and			
above)			

In the case of fine arts, visual arts, or media arts, apart from the above criteria for thesis publication, the thesis can be publicly published in the form or method that is accepted in the field of study by focusing on thinking, analyzing, creating, and applying. The arts, approved by the national artists or experts, who is accepted by the Graduate School, can be used instead of the publications or presentation in the National conference.

## 3.3. Doctoral degree

Criteria for thesis publication	Type 1.1	Type 1.2	Type 2.1	Type 2.2*
Publications	1,1	1.4	2.1	2.2
The Ph.D. thesis or parts of the Ph.D. thesis have been published or at least accepted to be published in international journals in ISI, Scopus, IEEE, PubMed, or Web of Science	1	1	1	1
The Ph.D. thesis or parts of the Ph.D. thesis have been published or at least accepted to be published in international journals.	1			1
International conference Note: Award from international contest can be used instead of the presentation in international conference after the acceptance from the Graduate School Academic Administrative Committee (GSAAC).	1	1	1	1

Criteria for thesis publication	Type 1.1	Type 1.2	Type 2.1	Type 2.2*
Patent/Innovation, which can be used instead of 1 publication	1.1	1.2	2.1	2.2"
Patent: Request number + Readiness level				
(TRL/PRL/SRL 6 and above)				
Innovation: Readiness level (TRL/PRL/SRL 7 and				
above)				

**Note:** \*Type 2.2 Only 1 international publication in ISI Q1/Q2 can be used instead of 2 international publications.

In the case of fine arts, visual arts, or media arts, apart from the above criteria for thesis publication, the Ph.D. thesis can be publicly published in the form or method that is accepted in the field of study, which is not below the criteria set by the Ministry of Education and/or Ministry of Higher Education, Science, Research and Innovation, by focusing on creating, new knowledge, or academic/professional innovative new ideas. The arts, approved by the international artists or experts, who is accepted by the Graduate School, can be used instead of the publications or presentation in the National conference.

In addition, the Ph.D. thesis can be published in national journals in TCI Tier 1 or the national journals which are qualified and accepted in the field of study or related fields, regularly and continuously published for at least 3 years, and the quality of publications must be evaluated by at least 3 peer reviewers from various outside universities, and published in both print and electronic, which have an exact schedule or presented in the academic regarding the program's conditions.

- 3.4. For at least 1 thesis publication, the student must be listed as the first author. The affiliation of the student in the major publication must be as follows: "Program...../
  Department....... Faculty/College/School of........... Chiang Mai University".
- 3.5. The thesis must not be published in the journals, which are in the List of Predatory Publishers.
  - 3.6. International publication/conference can be used instead of the national.
  - 3.7. The verification of database entries is based on the submission date.
- 4. In the case that it is imperative that the above criteria are not met, reasons and necessity must be proposed, considered, agreed and approved by the Graduate Program Administrative Committee (GPAC), Academic Sector Graduate Education Executive Committee (GEEC), and Graduate School Academic Administrative Committee (GSAAC). The consideration of GSAAC shall be final.

5. The program can set higher quantity and quality of the criteria than this announcement.

In case of not following the above criteria, the program can set the form, method, level, and number of publications, which are accepted in the field of study in the program. However, the criteria must not lower than those set by the Ministry of Education. In this case, reasons and necessity must be proposed, considered, agreed and approved by the Academic Sector Graduate Education Executive Committee (GEEC), Graduate School Academic Administrative Committee (GSAAC), University Executive Committee, Academic Council, and University Council.

Announced on 28 April, 2022

(Sign)

(Associate Professor Dr. Apichat Sopadang)

Dean of Graduate School

Note: This document has been translated from the Thai Language. In case of court proceedings, or any other disagreement between this document and the original document, the Thai Language version of this document shall take precedence.